

2019 Final Risk Adjustment Data Submission Run: **01/31/2020 Deadline**

Overpayment Run Submission Deadline*: **06/30/2020 Deadline**

Scenario: A Medicare Advantage Organization (MAO) initially submits an encounter data record prior to the PY 2019 final risk adjustment data submission deadline with diagnoses DxA and DxB. Shortly after, the MAO realizes they erroneously included DxB and submits a replacement record to remove DxB. After the PY 2019 final risk adjustment data submission deadline, but before the overpayment model run deadline, the MAO again attempts to correct the encounter by submitting another replacement which will remove DxA and add DxB and DxC. Shortly after, the MAO submits a final replacement record to add back in DxA, remove DxB and continue to keep DxC as a valid diagnosis for the encounter.

Encounter Data Submission					MAO-002	MAO-004						Payment Designation		Description			
ICN	Date of Service	Date of Encounter Data Submission	Encounter Type	Diagnoses Included in Encounter	Preliminary Allow/Disallow Reason Code	Service Type	Allow/Disallow Flag	Allow/Disallow Reason Code	Diag 1	Add/Delete 1**	Diag 2	Add/Delete 2**	Diag 3		Add/Delete 3**	Diagnoses Included in Payment***	Diagnoses Removed from Payment
1000	1/15/2018	2/1/2018	1	DxA, DxB	PA	P	A	-	DxA	A	DxB	A	-	-	DxA, DxB	-	MAO submits an original encounter before the PY 2019 final risk adjustment data submission deadline. The encounter includes diagnoses DxA and DxB. The MAO-002 will report this record as preliminarily allowed ("PA"). The MAO-004 will report this record as allowed ("A") and will indicate that DxA and DxB are in "Add" status ("A"). The diagnosis codes DxA and DxB were added prior to the PY 2019 final risk adjustment data submission deadline, and are risk adjustment eligible (see the December 22, 2015 HPMS memo, Final Encounter Data Diagnosis Filtering Logic). They will be included in the PY 2019 final risk adjustment reconciliation payment.
1001	1/15/2018	2/15/2018	3	DxA	PA	P	A	-	DxA	A	DxB	D	-	-	DxA	DxB	MAO submits a replacement record before the PY 2019 final risk adjustment data submission deadline. This replacement record includes DxA, but does not include DxB. The MAO-002 will report this record as preliminarily allowed ("PA"). The MAO-004 will report this record as allowed ("A") and will indicate that DxA is in "Add" status ("A") and DxB is in "Delete" status ("D"). DxA remains in the PY2019 final risk adjustment reconciliation payment. DxB will be not be in the PY2019 final risk adjustment reconciliation payment.
1002	1/15/2018	3/1/2020	3	DxB, DxC	PD/PD	P	D	D	DxA	D	DxB	A	DxC	A	-	DxA	MAO submits another replacement record. The submission date is after the PY 2019 final risk adjustment data submission deadline, but before the overpayment run submission deadline. The replacement record does not include DxA, but reintroduces DxB, and includes new diagnosis DxC. The MAO-002 will report this record as preliminarily disallowed ("PD") with the reason code indicating that the record was submitted after the PY 2019 final risk adjustment data submission deadline ("PD") and the MAO-004 will report this record as disallowed ("D") with the reason code indicating the record was submitted after the PY 2019 final risk adjustment data submission deadline ("D"). The MAO-004 will indicate that DxA is in "Delete" status ("D") and DxB and DxC are in "Add" status ("A"). DxA will be removed from the payment. Diagnoses DxB and DxC will not be added to payment because they were submitted after the PY 2019 final risk adjustment data submission deadline (see 42 CFR 422.310g(2)(ii)- After the final risk adjustment data submission deadline, which is a date announced by CMS that is no earlier than January 31 of the year following the payment year, an MA organization can submit data to correct overpayments but cannot submit diagnoses for additional payment).
1003	1/15/2018	3/15/2020	3	DxA, DxC	PD/PD	P	D	D	DxA	A	DxB	D	DxC	A	-	-	MAO submits a final replacement record after the PY 2019 final risk adjustment data submission deadline, but before the overpayment run submission deadline. The replacement record reintroduces DxA, removes DxB, and still includes DxC. The MAO-002 will report this record as preliminarily disallowed ("PD") with the reason code indicating that the record was submitted after the PY 2019 final risk adjustment data submission deadline ("PD") and the MAO-004 will report this record as disallowed ("D") with the reason code indicating the record was submitted after the PY 2019 final risk adjustment data submission deadline ("D"). The MAO-004 will indicate that DxA and DxC are in "Add" status ("A") and DxB is in "Delete" status ("D"). Despite DxA being part of the PY 2019 final reconciliation payment, because it was deleted and then re-added through a replacement record after the PY 2019 final risk adjustment data submission deadline, it will not be eligible for payment (see 42 CFR 422.310g(2)(ii)- After the final risk adjustment data submission deadline, which is a date announced by CMS that is no earlier than January 31 of the year following the payment year, an MA organization can submit data to correct overpayments but cannot submit diagnoses for additional payment).

* The PY2019 overpayment run has not been scheduled. This overpayment submission deadline is for sample purposes only.

** A diagnosis that is deemed in "Add" status will be included for informational purposes and does not necessarily mean the diagnosis has been included for payment.

*** Diagnoses included in payment assume each encounter row is the final encounter data record.